D 11581 (Pages : 2) Name..

Reg. No.....................................

THIRD SEMESTER M.Com. DEGREE (REGULAR/SUPPLEMENTARY) EXAMINATION, NOVEMBER 2021

[November 2020 for SDE/Private Students]

(CBCSS)

M.Com.

MCM 3E(F) 02—FINANCIAL MARKETS AND INSTITUTIONS

(2019 Admission onwards)

Time : Three Hours Maximum : 30 Weightage

General Instructions (Not applicable to SDE/Private Students)

1. *In cases where choices are provided, students can attend **all** questions in each section.*

2. *The minimum number of questions to be attended from the Section / Part shall remain the same.*

3. *The instruction if any, to attend a minimum number of questions from each sub section / sub part / sub division may be ignored.*

4. *There will be an overall ceiling for each Section / Part that is equivalent to the maximum weightage of the Section / Part.*

Part A

*Answer any **four** questions.*
Each question carries 2 weightage.

1. Define Derivatives.

2. What is Rematerialisation ?

3. Who are Book runners ?

4. What are SWAPS ?

5. What is REPO ?

6. Describe the meaning of underwriting.

7. What do you mean by FCCB ?

(4 × 2 = 8 weightage)

Part B

*Answer any **four** questions.*
Each question carries 3 weightage.

8. What are the features of forward contracts ?

9. Explain the terms : a) New issue, b) Bonus issue, and c) Right issue ?

Turn over

10. Discuss the functions of depository.

11. Explain the role of government in securities market.

12. Explain the advantages of listing.

13. Write notes on NSE & BSE.

14. What is IPO grading ? What are its constituents ?

(4 × 3 = 12 weightage)

Part C

Answer any **two** *questions.*
Each question carries 5 *weightage.*

15. Describe the structure of Indian Financial System.

16. 'Explain the role and functions of various participants in the Indian Capital Market.

17. Discuss about the different securities trading in Stock exchange.

18. 'Role of SEBI is both regulatory and promotional in respect of capital market', explain ?

(2 × 5 = 10 weightage)